

Please type a plus sign (+) inside this box =>

+

PTO/SB/05 (1/98)

Approved for use through 09/30/00. OM 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Form to be used for Regular Continuation/Divisional. DO NOT USE FOR FWC**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

M-10944-1C US

First Named Inventor or
Application Identifier

You Kondoh

Title

A Semiconductor Light Emitting Device Having A Silver
P-Contact

Express Mail Label No.

EL699361114US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form - *see page 2 of this form.*
(Submit an original, and a duplicate for fee processing)

2. Application:

- ☒ Specification: (preferred arrangement set forth below)

Descriptive title of the Invention,
Cross References to Related Applications,
Reference to Microfiche Appendix,
Background of the Invention,
Brief Summary of the Invention,
Brief Description of the Drawings, and
Detailed Description (all totaling 10 pages)
Appendix(ces) _____, & _____ (_____ pages)

- ☒ Claim(s) 4 pages

- ☒ Abstract of the Disclosure 1 page

3. ☒ Drawing(s) (35 USC 113) [Total Sheets 3]

4. Oath or Declaration ☐ unsigned [Total Pages 6]

- a. ☐ Newly executed (original or copy)

- b. ☒ Copy from prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 17 completed)

- c. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program Appendix
consisting of _____ pages of microfiche containing _____
frames on each page in accompanying envelope.
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents) _____ pages
9. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney
(combined when there is an _____ with Patent Declaration
Assignee) _____ above.)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure ☐ 2 Copies of IDS
Statement (IDS) & ☒ PTO-1449 Citations/References
Twelve (12) references not included. Cited in parent application SN
09/212,150
12. ☒ Preliminary Amendment 2 pages
13. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
14. Small Entity Status
- ☐ Small Entity Statement Enclosed _____ pages
- ☐ Statement filed in prior application; and
status still proper and desired
- ☐ Is no longer claimed.
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other:
- ☐ Copy of Petition for Extension of Time filed in parent appln.;
- ☒ Submission of Formal Drawings (1 page), plus 3
sheets of drawings (Figs. 1, 2, 3, and 4)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:

- ☒ Continuation ☐ Divisional of prior application No. 09/212,150

Filed on December 15, 1998, entitled: A Nitride Semiconductor Light Emitting Device Having A Silver P-Contact.

PRIOR APPLICATION INFORMATION: Examiner D. Wille Group Art Unit 2814

18. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name

Brian D. Ogonowsky

Reg. No. 31,988

Attorneys for
Applicant

Skjerven Morrill MacPherson LLP

Address

25 Metro Drive, Suite 700

City

San Jose

State

CA

Zip Code

95110

Country:

United States

Telephone

(408) 453-9200

Fax

(408) 453-7979

Please type a plus sign (+) inside this box



PTO/SB/29 (1/98)
 Approved for use through 09/30/00. OMB 0651-0032
 Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
36	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	16	x	\$18	=	\$ 288.00
3	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$80	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$260.00	=	
						BASIC FEE (37 CFR 1.16(a))	=	\$ 710.00
						Total of above Calculations	=	\$ 998.00
						Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28)	=	
						TOTAL	=	\$ 998.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: _____

NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

22. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

☒ New correspondence address below

NAME	Brian D. Ogonowsky				
	Skjerven Morrill MacPherson LLP				
ADDRESS	25 Metro Drive, Suite 700				
CITY	San Jose	STATE	California	ZIP CODE	95110
COUNTRY	U.S.A.	TELEPHONE	(408) 453-9200	FAX	(408) 453-7979

23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. (408) 453-9200 Fax. (408) 453-7979	
Date:	January 16, 2001
Name	Brian D. Ogonowsky Reg. No. 31,988
Signature	
Express Mail Label No.	EL699361114US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kondoh et al.
Assignee: LumiLeds Lighting, U.S., LLC
Title: A Semiconductor Light Emitting Device With Reflective P-Contact
Serial No.: Unknown Filing Date: Herewith
Examiner: Unknown Group Art Unit: Unknown
Docket No.: M-10944-1C US



#3
3-8-01
Payton

San Jose, California
January 16, 2001

Box Patent Application
Attn: Official Draftsperson
COMMISSIONER FOR PATENTS
Washington, D. C. 20231

SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Applicants submit three (3) sheets of formal drawings, consisting of Figures 1, 2, 3, and 4, in the above-named application. If there are any questions regarding these drawings, please call the undersigned at (408) 453-9200.

EXPRESS MAIL LABEL NO:

EL699361114US

Respectfully submitted,

Brian D. Ogonowsky
Attorney for Applicant(s)
Reg. No. 31,988

LAW OFFICES OF
SKJERVEN MORRILL
MacPHERSON LLP

25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979